# Main-Memory Databases

#### Motivation

Hardware trends

- Huge main memory capacity with complex access characteristics (Caches, NUMA)
- Many-core CPUs
- SIMD support in CPUs
- New CPU features (HTM)
- Also: Graphic cards, FPGAs, low latency networking,...

Database system trends

- Entire database fits into main memory
- New types of database systems
- New algorithms, new data structures

"The End of an Architectural Era. (It's Time for a Complete Rewrite)."

# Recap: Database Workloads

Analytics

- Long-running
- Access large parts of the database
- Often use scans
- Read-only
- Example: "Average order value per year and product group?"

Transaction processing

- Short running
- (Multiple) point queries + simple control flow
- Insert/Update/Delete/Read data
- Example: "Increment account x by 10, decrement account y by 10"

Universal DBMS used for both (but not concurrently).

#### OLTP

Universal DBMS were optimized for 1970's hardware

- Small fraction of DB in memory buffer
- Hide and avoid disk access at any cost

Today

- Even enterprises can store entire DB in memory
- Transaction are often "one-shot"
- Transactions execute in a few ms or even µs

# OLTP (2)

Main sources of overhead

- ARIES-style logging
- Locking (2PL)
- Latching
- Buffer Management

Useful work can be as low as  $\frac{1}{60}$ th of instructions<sup>1</sup>. Modern systems avoid this overhead (see slide 9).

<sup>&</sup>lt;sup>1</sup>Harizopoulos et al. – OLTP Through the Looking Glass, and What We Found There

# Physical Data Layout in Main Memory

Lightweight:

- Buffer Manager removed
- No need for segments
- No need for slotted pages

Store data in simple arrays. But: Row-wise or column-wise?



# Physical Data Layout in Main Memory (2)

Row Store:

- Beneficial when accessing many attributes
- For OLTP

Column Store:

- Excellent cache utilization
- Sometimes individually sorted
- Compression potential
- Vectorized processing
- For OLAP

Hybrid Row/Column Stores possible

# New Systems (Examples)

#### OLTP-only:

- VoltDB/H-Store
- Microsoft Hekaton

OLAP-only:

- Vectorwise
- MonetDB
- DB2 BLU

Hybrid OLTP and OLAP:

- SAP HANA
- HyPer

# New Systems: OLTP (Examples)

Challenge:

- Avoid overhead
- Guarantee ACID

Approaches:

- Buffer Management: Removed
- Logging
  - H-Store/VoltDB: Log shipping to other nodes
  - Hekaton: Lightweight logging (no index structures)
- Locking:
  - H-Store/VoltDB: Serial execution (on private partitions)
  - Hekaton: Optimistic MVCC
- Latching
  - ► H-Store/VoltDB: Not necessary
  - Hekaton: Latch-free data structures

#### New Systems: Hekaton

- Integrated in SQL Server
- Code Generation
- Only access path: Index (Hash or B(w)-Tree)
- Latch-Free Indexes
- MVCC



#### New Systems: OLAP

- Vectorwise: Vectorized Processing
- HyPer: Query Compilation (cf. Chapter Code Generation)

#### Main-Memory Databases

# New Systems: Hybrid OLTP and OLAP

Traditionally:

- Mixing OLTP and OLAP leads to performance decline
- ETL architecture
- 2 systems, stale data

New Systems

- SAP HANA
  - Split DB into read-optimized main and update-friendly delta
  - OLAP queries read main, OLTP transactions read delta and main
  - Periodically merge main and delta
- HvPer: Virtual memory snapshots











#### In-Memory Index Structures

- In-memory hash indexes
  - Simple and fast
  - Growing is very expensive
  - Do not support range queries
- Search Trees
  - BSTs are cache unfriendly
  - B-Trees better (even though designed for disk)
- Radix-Trees ("Tries")
  - Support range queries
  - Height is independent from number of entries

# Radix Trees

Properties:

- Height depends on key length, not number of entries
- No rebalancing
- All insertion orders yield same tree
- Keys are stored in the tree implicitely

Search:

- Node is array of size 2<sup>s</sup>
- s bits (often 8) are used as an index into the array

Radix Tree

• *s* is a trade-off between lookup-performance and memory consuption

Adaptive Radix Tree



# Adaptive Radix Trees

Four node types:

• Node4: 4 keys and 4 pointers at corresponding positions:



- Node16: Like Node4, but with 16 keys. SIMD searchable.
- Node48: Full 256 keys (index offset), point to up to 48 values:



- Node256: Regular trie node, i.e. array of size 256
- Additionally, I looden with mode type, wywelsen of entwice

# Exploiting HTM for OLTP

- Intel's Haswell introduced HTM (via cache coherency protocol)
- Allows to group instructions to transactions
- Can help to implement DB transactions, but
  - Do not guarantee ACID by themselves
  - Limited in size/time



 $\Rightarrow$  Use HTM transactions as building blocks for DB transactions

#### Exploiting HTM for OLTP (2)

Goals:

- As fine-grained as 2PL, but faster
- As fast as serial execution, but more flexible

```
atomic-elide-lock (lock) {
  account[from]-=amount;
  account[to]+=amount;
}
```

# Implementing DB transactions with HTM

#### Use TSO + HTM for latching:

imestamp conflict

A	database transaction			
/	conflict detection: read/write sets via timestamps elided lock: serial execution			
	ended lock, senal execution			
	request a new timestamp, record safe timestamp			
STA C	HTM transaction			
°/	conflict detection: read/write sets in hardware			
(	elided lock: latch			
$\langle \rangle$	single tuple access			
	verify/update tuple timestamps			
(TA)	HTM transaction			
$\gamma$	conflict detection: read/write sets in hardware			
	elided lock: latch			
/	single tuple access			
	verify/update tuple timestamps			
	release timestamp, update safe timestamp			

Relation and index structure layout must avoid conflicts

# NUMA-Aware Data Processing

NUMA architectures:



- Local access cheap
- Remote access expensive

#### NUMA-Aware Data Processing: Hash Join



#### Compaction

- OLTP & OLAP share the same physical data model
  - Fast modifications vs scan performance
  - Row store vs column store
- Modifications require snapshot maintenance
  - Use more memory
  - Congest memory bus
  - Stall transactions

# Compaction: Hot/Cold Clustering



- Compression is applied asynchronously to cold part:
  - Dictionary encoding
  - Run-length encoding
  - Other schemes possible
- Compact snapshots through a mix of regular and huge pages
  - Keeps page table small
  - Clustered updates
  - No huge pages need to be replicated

#### Compaction: Hot/Cold Clustering



# Compaction: Hot/Cold Clustering

How to detect temperature without causing overhead?

- 1. Software: LRU lists, counters
- 2. Hardware: mprotect
- 3. Hardware: dirty and young flags



#### Data Blocks

- most data is cold and rarely / never changes
- it is attrative to compress these aggressively
- and pre-compute SMAs
- helps with skipping data
- fits well with a cloud storage setup

#### Data Blocks - Scan Types



#### Data Blocks - Layout

tuple count	sma offset <sub>0</sub>	dict offset <sub>0</sub>	data offset <sub>0</sub>	
compression <sub>0</sub>	string offset <sub>0</sub>	sma offset <sub>1</sub>	dict offset <sub>1</sub>	
data $offset_1$	$compression_1$	string $offset_1$		
	sma offset <sub>n</sub>	dict offset <sub>n</sub>	data $offset_n$	
compression <sub>n</sub>	string $offset_n$	min <sub>0</sub>	max <sub>0</sub>	
lookup table <sub>0</sub>				
Positional SMA index for attribute 0				
domain size <sub>0</sub> dictionary <sub>0</sub>				
compressed data <sub>o</sub>				
string data <sub>0</sub>				
$\min_1$	$\max_1$			

#### Data Blocks - Vectorized Evaluation

