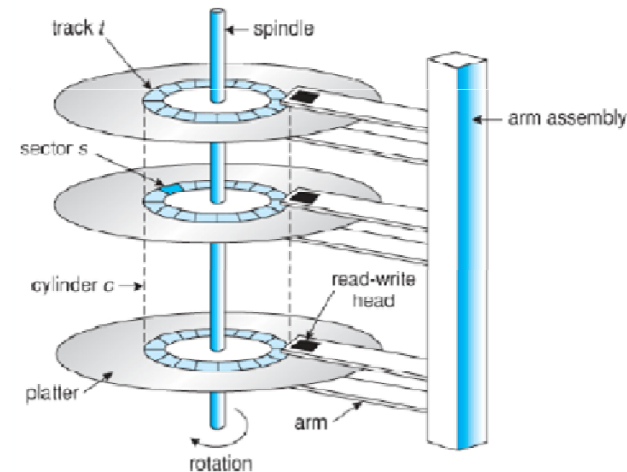
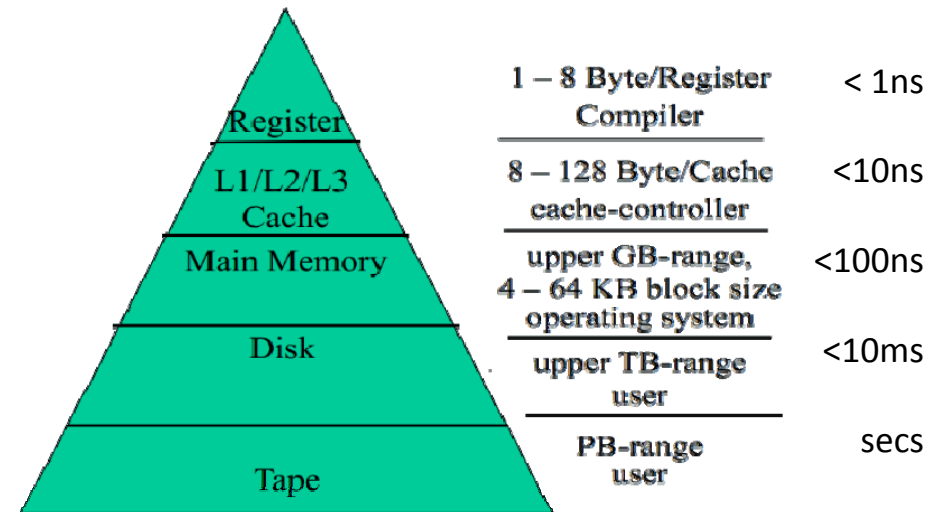


# Indexes

Storage Hierarchy, ISAM, B-Trees and Hashing

# Storage Hierarchy

- Storage hierarchy is important for run-time
  - keep as much as possible in main memory
- Read data from disk:
  - Store things you do not need outside on disk
  - **Seek time:** time until track is found
  - **Latency:** Rotation until head starts to write sector
  - **Transfer time:** time to transfer full sector

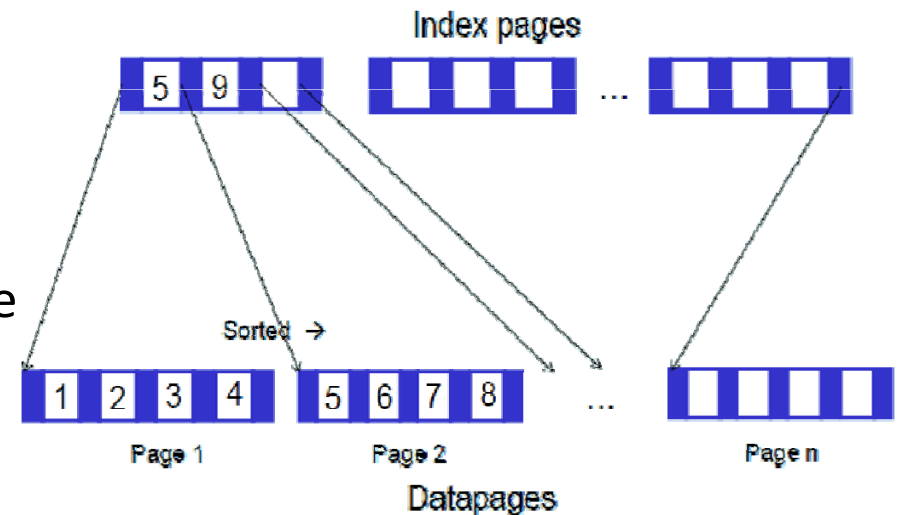


Sector:  
Unit to read or write,  
1-8 KB

Track:  
Formed of sectors of  
equal size

# Hierarchical Indexes: ISAM and B-Trees

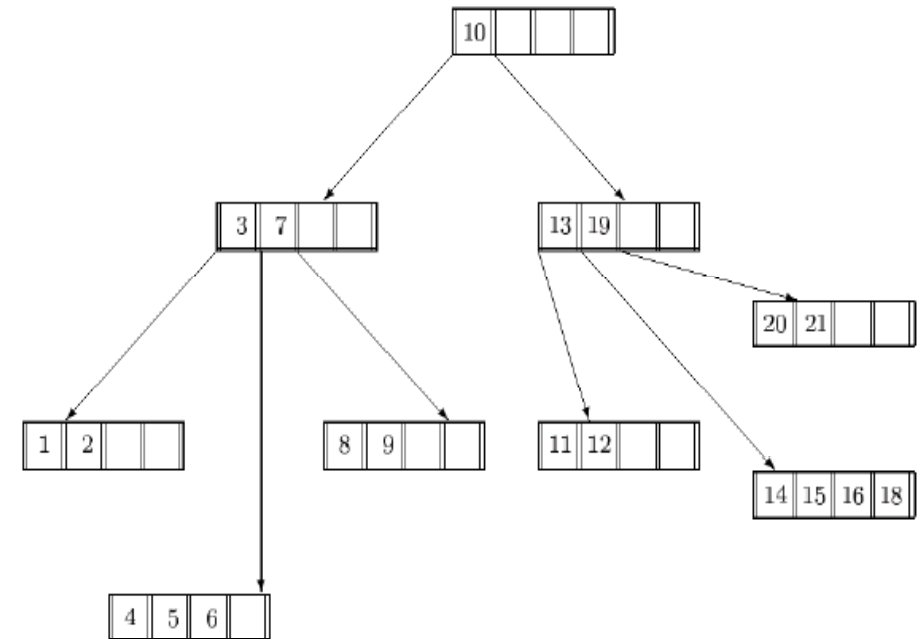
- Data transfer can be very time-expensive → keep it as little as possible
- Use index structures: only needed parts are transferred
- **ISAM**: Index Sequential Access Method
  - Predecessor of B-Trees
  - Main Idea: sort tuples on indexes attribute
  - Similar to thumb index in a book
  - Problem: Maintenance of index is expensive  
→ Index pages for index pages (B-Trees)



# B-Tree

## Properties

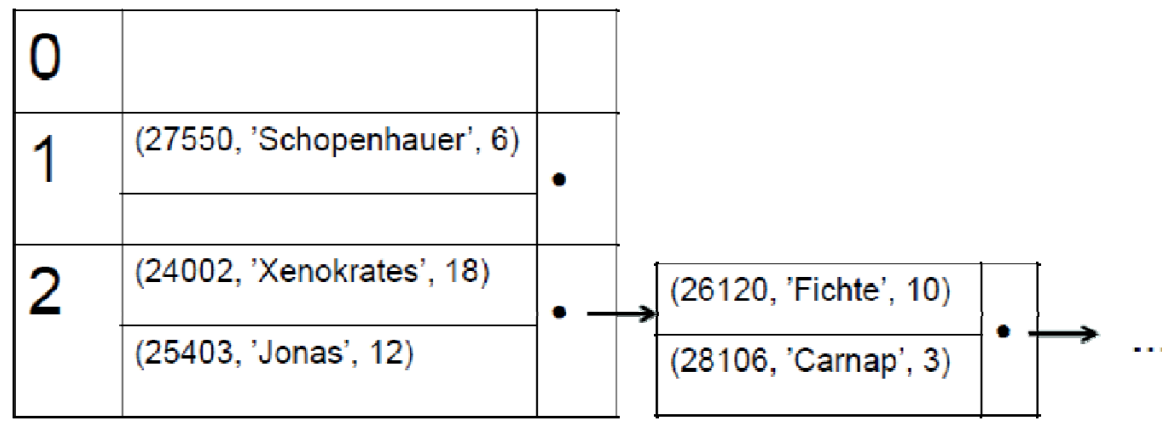
- Balanced and sorted
- Degree  $i$ : between  $i$  and  $2i$  entries (exception: root)
- Every node with  $n$  entries has  $n+1$  children (exception: leaves)



Improvement: B+-Tree: reference keys in inner nodes, data in leaf nodes  
→ better run-time

# Hashing

- Storing tuples in a defined memory area
- Hash function: mapping tuples to a fixed set of function values
- Optimal hash function: injective and surjective
- Typical hash function  $h: h(x) = x \bmod N \rightarrow$  set of function values thereby  $\{0, \dots, N-1\}$



# Hashing – advantages and disadvantages

## Advantages

- Few accesses to external storage
- Simple implementation

## Disadvantages

- Collision handling necessary
- Pre-allocation of memory area
- Not dynamic,
- no range queries

# Exam Exercises

- Insert following numbers into an empty B-Tree (degree 2)

7, 21, 5, 3, 17, 90, 4, 34, 24, 32, 13

- What does degree 2 mean for this tree?

# Exam Exercises – Solution (1)

Exercise:

Insert 7, 21, 5, 3, 17, 90, 4, 34, 24, 32, 13

1) Insert 7, 21, 5 and 3 in order:

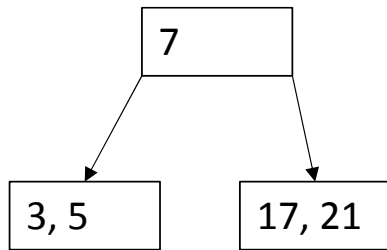
7

7, 21

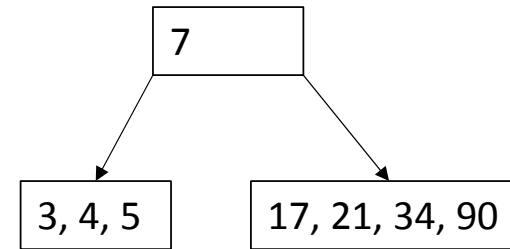
5, 7, 21

3, 5, 7, 21

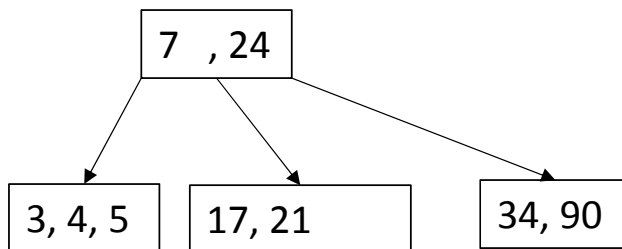
2) Insert 17: take median as root



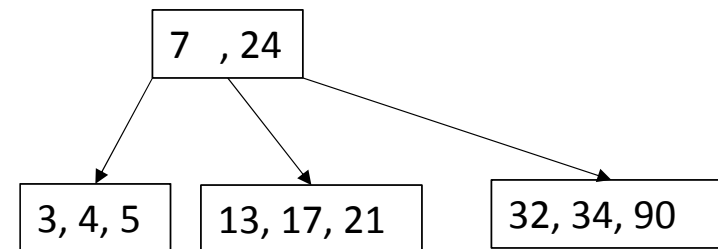
3) Insert 90, 4 and 34



4) Insert 24: take the median to root



5) Insert 32 and 13





# Exam Exercises – Solution (2)

Exercise: What does degree 2 mean for this tree?

- B-Tree has at least 2 and at most  $2 \times 2 = 4$  entries for every node (except the root)